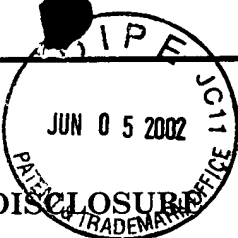


FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B



# INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	41711/JEC/X2
Application Number	09/886,930
Filing Date	June 21, 2001
Applicant(s)	Michael E. See, et al.
Group Art Unit	2135
Examiner Name	To be assigned

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
Aw		5,721,779	02-24-1998	Funk
Aw		5,889,958	03-30-1999	Willens

RECEIVED

JUN 11 2002

Technology Center 2100

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

### OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Aw	1	LLOYD, B., et al., "PPP Authentication Protocols," Network Working Group, <a href="http://www.ietf.org/rfc/rfc1334.txt?number=1334">http://www.ietf.org/rfc/rfc1334.txt?number=1334</a> , Pages 1-16, October 1992.
Aw	2	HALLER, N., "The S/Key One-Time Password System," Network Working Group, <a href="http://www.ietf.org/rfc/rfc1760.txt?number=1760">http://www.ietf.org/rfc/rfc1760.txt?number=1760</a> , Pages 1-12, February 1995.
Aw	3	SIMPSON, W., "PPP Challenge Handshake Authentication Protocol (CHAP)," Network Working Group, <a href="http://www.ietf.org/rfc/rfc1994.txt?number=1994">http://www.ietf.org/rfc/rfc1994.txt?number=1994</a> , Pages 1-12, August 1996.

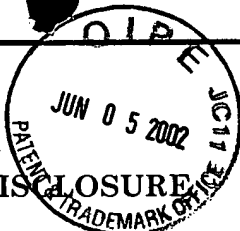
EXAMINER SIGNATURE		DATE CONSIDERED	12/11/03
--------------------	--	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Attorney Docket Number 41711/JEC/X2

Application Number 09/886,930

Filing Date June 21, 2001

Applicant(s) Michael E. See, et al.

Group Art Unit 2135

Examiner Name To be assigned

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>AC</i>	4	BLUNK, L.J., et al., "PPP Extensible Authentication Protocol (EAP)," Network Working Group, <a href="http://www.watersprings.org/pub/id/draft-ietf-pppext-eap-auth-02.txt">http://www.watersprings.org/pub/id/draft-ietf-pppext-eap-auth-02.txt</a> , Pages 1-18, June 1996

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

12/11/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/sd

SD PAS438655.1.\*-5/31/02 9:59 AM

**RECEIVED**  
JUN 11 2002  
Technology Center 2100

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/BINFORMATIONAL DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	41711/JEC/X2
Application Number	09/886,930
Filing Date	June 21, 2001
Applicant(s)	Michael E. See, et al.
Group Art Unit	2185
Examiner Name	To be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
Aw		4,896,319	01-23-1990	Lidinsky et al.
Aw		4,922,486	05-01-1990	Lidinsky et al.
Aw		4,962,449	10-09-1990	Schlesinger
Aw		5,191,613	03-02-1993	Graziano et al.
Aw		5,249,230	09-28-1993	Mihm, Jr.
Aw		5,272,754	12-21-1993	Boerbert
Aw		5,311,593	05-10-1994	Carmi
Aw		5,343,529	08-30-1994	Goldfine et al.
Aw		5,414,844	05-09-1995	Wang
Aw		5,469,576	11-21-1995	Dauerer et al.
Aw		5,499,297	03-12-1996	Boebert
Aw		5,502,766	03-26-1996	Boebert et al.
Aw		5,564,016	10-08-1996	Korenshtein
Aw		5,657,388	08-12-1997	Weiss
Aw		5,671,354	09-23-1997	Ito et al.
Aw		5,678,004	10-14-1997	Thaweethai
Aw		5,684,951	11-04-1997	Goldman et al.
Aw		5,696,898	12-09-1997	Baker et al.
Aw		5,721,780	02-24-1998	Ensor et al.
Aw		5,761,309	06-02-1998	Ohashi et al.
Aw		5,774,525	06-30-1998	Kanevsky et al.
Aw	*	5,774,551	06-30-1998	Wu et al.

RECEIVED

JUN 19 2002

Technology Center 2600

RECEIVED

JUN 12 2002

Technology Center 2100

EXAMINER SIGNATURE	<i>elt</i>	DATE CONSIDERED	12/11/03
--------------------	------------	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/BINFORMATION DISCLOSURE  
STATEMENT OF APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	41711/JEC/X2
Application Number	09/886,930
Filing Date	June 21, 2001
Applicant(s)	Michael E. See, et al.
Group Art Unit	2185
Examiner Name	To be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
Aw		5,774,650	06-30-1998	Chapman et al.
Aw		5,778,065	07-07-1998	Hauser et al.
Aw		5,784,566	07-21-1998	Viavant et al.
Aw		5,796,942	08-18-1998	Esbensen
Aw		5,852,714	12-22-1998	Tseng et al.
Aw		6,055,638	04-25-2000	Pascal et al.
Aw		6,061,790	05-09-2000	Bodnar
Aw		6,070,240	05-30-2000	Xydis
Aw		6,070,243	05-30-2000	See et al.

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

## OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Aw		Ascend Max/Pipeline Software Version 4.6 Dictionary Definitions, 9 Pages, October 18, 1996

EXAMINER SIGNATURE	DATE CONSIDERED
<i>[Signature]</i>	12/11/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

COPY OF PAPERS  
ORIGINALLY FILED

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	41711/JEC/X2
Application Number	09/886,930
Filing Date	June 21, 2001
Applicant(s)	Michael E. See, et al
Group Art Unit	2185
Examiner Name	To be assigned

Technology Center 2100  
JUN 19 2002

RECEIVED

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Aw		Livingston Enterprises, Inc., "Remote Authentication Dial In User Service (RADIUS) Ascend Definitions," July 25, 1995
Aw		RIGNEY, C., "RADIUS Accounting," IETF RFC 1035, Pages 1-37, January 1996, <a href="http://www.ietf.org/rfc/rfc2059.txt?number=2059">http://www.ietf.org/rfc/rfc2059.txt?number=2059</a>
Aw		RIGNEY, C., et al, "Remote Authentication Dial In User Service (RADIUS)," IETF RFC 2058, Network Working Group Internet, Pages 1-64, January 1997, <a href="http://www.ietf.org/rfc/rfcw058.txt?number=2058">http://www.ietf.org/rfc/rfcw058.txt?number=2058</a>
Aw		HAMZEH, K., "Ascend Tunnel Management Protocol -ATMP," IETF RFC 2107, Network Working Group Internet, Pages 1-19, February 1997, <a href="ftp://ftp.isi.edu/in-notes/rfc2107.txt">ftp://ftp.isi.edu/in-notes/rfc2107.txt</a>
Aw		RIGNEY, C., et al., "Remote Authentication Dial In User Service (RADIUS)," IETF RFC 2138, Pages 1-65, April 1997, <a href="http://www.ietf.org/rfc/rfc2138.txt?number=2138">http://www.ietf.org/rfc/rfc2138.txt?number=2138</a>
Aw		RIGNEY, C., "RADIUS Accounting," IETF RFC 2139, Pages 1-37, April 1997, <a href="http://www.ietf.org/rfc/rfc2139.txt?number=2139">http://www.ietf.org/rfc/rfc2139.txt?number=2139</a>
Aw		CLAASSEN et al., "Secure Communication Procedure For ISDN," IEEE Trans. on Computers, Pages 165-170, June 1998.
Aw		BENHANMOU, ERIC, "Integrating Bridges and Routers in a Large Internetwork," IEEE Network, Pages 65-71, January 1998.
Aw		WEAVER, et al., "A Real-Time Monitor for Token Ring Networks," IEEE Trans. on Computers, Pages 0784-0798, May 1989.
Aw		KARRI, RAMESH, "A Security Imbedded Authentication Protocol," IEEE Trans. on Computers," Pages 1105-1109, June 1988.
Aw		COLE, et al., "An Architecture for a Mobil OSI Mail Access System," IEEE Journal on Selected Areas in Communications," Vol. 7, No. 2, Pages 249-256, February 1989.
Aw		NAKEO, et al., "Proposal on a Secure Communications Service Element in the OSI Application Layer," IEEE Journal on Selected Areas on Communications, Vol. 7, No. 4, Pages 505-516, May 1989.

RECEIVED

JUN 12 2002

EXAMINER SIGNATURE	<i>Att. S. W.</i>	DATE CONSIDERED	12/1/03
--------------------	-------------------	-----------------	---------

Technology Center 2100

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

COPY OF PAPERS  
ORIGINALLY FILED

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT OF APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	41711/JEC/X2
Application Number	09/886,930
Filing Date	June 21, 2001
Applicant(s)	Michael E. See, et al.
Group Art Unit	2184
Examiner Name	To be assigned

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>An</i>		CIMINIERA, et al., "Authentication Mechanism in Microprocessor-Based Local Area Networks," IEEE Trans. on Software Engineering, Col. 15, No. 5, Pages 654-658, May 1989.
<i>An</i>		LU, et al., "Secure Communication in Internet Environments: A Hierarchical Key Management Scheme for end-to-end Encryption," IEEE Trans. on Communications, Vol. 37, No. 10, pages 1014-1023, October 1989.

EXAMINER SIGNATURE	<i>[Signature]</i>	DATE CONSIDERED	12/11/02
-----------------------	--------------------	--------------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/sd

SD PAS438403.1-5/31/02 12:46 PM

RECEIVED

JUN 12 2002

Technology Center 2100

RECEIVED

JUN 19 2002

Technology Center 2600

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number

41711/SAH/X2

Application Number

09/886,930

Filing Date

June 21, 2001

Applicant(s)

Michael E. See, et al.

Group Art Unit

2135

Examiner Name

Ayaz R. Sheikh

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
Aw		5,968,126	10-19-1999	Ekstrom et al.
Aw		6,021,495	02-01-2000	Jain et al.
Aw		US 6,311,218 B1	10-30-2001	Jain et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Aw	✓	Xylan and Checkpoint Develop First Secure Virtual LANs and User Authenticated Virtual LANs; Business Wire, Inc.; Calabasas, California; 4 Pages; June 11, 1996.
Aw	②	STONEBURNER, Gary R., et al.; The Boeing MLS LAN: Headed Towards an Infosec Security Solution; Boeing Aerospace and Electronics; Pages 254-265; (Undated).
Aw	✓	WEISS, Howard; Verdix Secure LAN & Boeing MLS LAN Product Bulletins, Sparta, Inc.; 5 Pages; September 4, 1990.
Aw	✓	Microsoft SNA Server; Microsoft Corporation; 6 Pages, 1993.
Aw	✓	Microsoft® SNA Server for Windows NT™ Fact Sheet; Microsoft Corporation; 3 Page; 1994.

EXAMINER SIGNATURE	DATE CONSIDERED
	12/11/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

AUG 04 2003

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

41711/SAH/X2

Application Number

09/886,930

Filing Date

June 21, 2001

Applicant(s)

Michael E. See, et al.

Group Art Unit

2135

Examiner Name

Ayaz R. Sheikh

OTHER DOCUMENTS

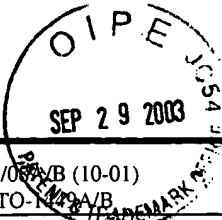
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Aw ✓		Microsoft SNA Server Evaluation Guide; Microsoft Corporation; 28 Pages; January 1994.
Aw ✓		Cover letter for Microsoft SNA Server Fact Sheet and Evaluation Guide, Microsoft Corporation; 1 Page; (Undated).
Aw ✓		Guideline for the Analysis of Local Area Network Security; Federal Information Processing Standards Publication 191; <a href="http://www.itl.nist.gov/fipspubs/fip191.htm">http://www.itl.nist.gov/fipspubs/fip191.htm</a> ; 43 Pages, November 9, 1994.
Aw ✓		MCNEALIS, Martin; New Cisco IOS VLAN Service Make "Virtual" a Reality; Cisco Systems Software Engineering; Pages 1-5; June 6, 1995.
Aw ✓		BLUNK, L.J., et al., PPP Extensible Authentication Protocol (EAP) IETF Internet Draft draft-ietf-pppext-eap-auth-02.txt; <a href="http://www.watersprings.org/pub/id/draft-ietf-pppext-eap-auth-02.txt">http://www.watersprings.org/pub/id/draft-ietf-pppext-eap-auth-02.txt</a> ; 20 Pages; June 1996.
Aw ✓		Cisco IOS Lock and Key Security; Cisco Systems, Inc., Pages 1-6; 1996.
Aw ✓		Cisco Announces Advanced Security Access Control Servers; Cisco Systems, Inc.; <a href="http://www.cisco.com/warp/public/146/pressroom/1997/apr97/1853.html">http://www.cisco.com/warp/public/146/pressroom/1997/apr97/1853.html</a> ; 3 Pages; April 15, 1997.
Aw ✓		PASSMORE, David, et al.; The Virtual LAN Technology Report; Decisys, Inc., 22 Pages; May 1996.
Aw ✓		Symantec pcANYWHERE 32 Version 7.5 Packaging; Symantec Corporation; 4 Pages; 1996.
Aw ✓		Symantec pcANYWHERE- Creating Scripts; Symantec Corporation, 254 Pages; 1993-1996.
Aw ✓		Symantec pcANYWHERE 32 Version 7.5 User's Guide; Symantec Corporation, 261 Pages, 1993-1996.

SD PASS14317.1-\*08/1/03 3:20 PM

EXAMINER SIGNATURE	<i>lll a</i>	DATE CONSIDERED	12/11/03
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="http://www.pto.gov">www.pto.gov</a> or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.</p>			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE





411

FORM PTO/SB/089A/B (10-01) Substitute for PTO-129A/B	Attorney Docket Number	41711/SAH/X2
<b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	Application Number	09/886,930
	Filing Date	June 21, 2001
	Applicant(s)	Michael E. See, et al.
	Group Art Unit	2135
	Examiner Name	Ayaz R. Sheikh

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
				<b>RECEIVED</b> OCT 01 2003

FOREIGN PATENT DOCUMENTS					T <sup>6</sup> (✓)
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	

Technology Center 2100

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Ah		ESTRIN, Deborah, et al. "Visa Protocols for Controlling Inter-Organizational Datagram Flow: Extended Description," WRL Research Report 88/5, Western Research Laboratory, The University of Southern California, December 1988, 41 Pages.

SD PAS527642.1 \*-09/22/03 2:38 PM

EXAMINER SIGNATURE		DATE CONSIDERED	12/11/03
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEJ/sd